AUG. 13 2004 U.S. STATEMENT CLAIMING SMALL ENTITY STATUS (37 UFR 1.27(a))-NONPROFIT ORGANIZATION David L. Kaplan, Juliet Fuhrm

DOCKET NUMBER: 1322.1026-005

	or Patentee:	David L. Kaplan, Juliet Fuh 10/767,836	hrman and Richard A. Gross
Serial or Patent No.: Filed or Issued: Title:		January 29, 2004	
		EMULSAN ADJUVANT IMMUNIZATION FORMULATIONS AND USE	
I hereby s	tate that I am an o NAME OF NONF	fficial empowered to act on be	ehalf of the nonprofit organization identified below: Trustees of Tufts College
TYPE OF	NONPROFIT OF	RGANIZATION:	
[X [[TAX EXEM NONPROFI (NAME OF (CITATION WOULD QU LOCATED WOULD QU STATES OF	PT UNDER INTERNAL REVENTIFIC OR EDUCAT STATE OF STATUTE JALIFY AS TAX EXEMPT UNITED STATES OF JALIFY AS NONPROFIT SO AMERICA IF LOCATED IN	CIENTIFIC OR EDUCATIONAL UNDER STATUTE OF STATE OF THE UNITED N THE UNITED STATES OF AMERICA
	(NAME OF	OF STATUTE	
I he identified invention a person u organizati	the application the patent identification in the patent identification. If the invention. If the input file separate in the se	rights held by the nonprofit or statements as to their status as (a)(1) or by any concern which 1.27(a)(3).	have been conveyed to and remain with the nonprofit organization regarding the above rganization are not exclusive, each individual, concern, or organization having rights in the as small entities. No rights to the invention are held by any person who would not qualify as ch would not qualify as a small business concern under 37 CFR 1.27(a)(2) or a nonprofit
[no such pers each such pe University o One Univers	on, concern, or organization e rson, concern, or organization f Massachusetts Lowell	
status prio	r to paying, or at		or patent, notification of any change in status resulting in loss of entitlement to small entity st of the issue fee or any maintenance fee due after the date on which status as a small entity
NAME O	F PERSON SIGN	ING	Margaret Newell
TITLE IN	ORGANIZATIO	N OF PERSON SIGNING	Associate Provost for Research
ADDRES	S OF PERSON SI	GNING	Tufts University Medical Comus, 136 Harrison Avenue, Boston, MA 02111
SIGNATU	RE Thuy	wenuvell	DATE May 25, 200 4
ODEDIA	\-ODMA/MUO	, , ,,,,,,,,,,,,,,,,,,,,,,,,,,,,,,,,,,	,

STATEMENT CLAIMING SMALL ENTITY STATUS (37 CFR 1.27(a))-NONPROFIT ORGANIZATION



DOCKET NUMBER: 1322.1026-005

Applicant or Pate	ntee: David L. Kaplan, Juliet Fuhrman and Richard A. Great
Serial or Patent N	o.:10/767,836
Filed or Issued:_	
Title:	EMULSAN ADJUVANT IMMUNIZATION FORMULATIONS AND USE
NAME	I am an official empowered to act on behalf of the nonprofit organization identified below: OF NONPROFIT ORGANIZATION University of Massachusetts Lowell ESS OF NONPROFIT ORGANIZATION One University Avenue
-	Lowell, Massachusetts 01854
TYPE OF NONP	ROFIT ORGANIZATION:
i j T. I N ()	NIVERSITY OR OTHER INSTITUTION OF HIGHER EDUCATION AX EXEMPT UNDER INTERNAL REVENUE SERVICE CODE (26 U.S.C.501(a) and 501(c)(3)) ONPROFIT SCIENTIFIC OR EDUCATIONAL UNDER STATUTE OF STATE OF THE UNITED STATES OF AMERICA MAME OF STATE CITATION OF STATUTE
[] W Lo [] W	OULD QUALIFY AS TAX EXEMPT UNDER INTERNAL REVENUE SERVICE CODE (26 U.S.C. 501(a) and 501(c)(3)) IF OCATED IN THE UNITED STATES OF AMERICA OULD QUALIFY AS NONPROFIT SCIENTIFIC OR EDUCATIONAL UNDER STATUTE OF STATE OF THE UNITED FATES OF AMERICA IF LOCATED IN THE UNITED STATES OF AMERICA
	NAME OF STATE
	CITATION OF STATUTE
purposes of payir	ate that the nonprofit organization identified above qualifies as a nonprofit organization as defined in 37 CFR 1.27(a)(3) for a reduced fees to the United States Patent and Trademark Office regarding the invention described in:
[X] th	e specification filed herewith with title as listed above. e application identified above. e patent identified above.
identified inventi invention must fi a person under 3	ate that the rights under contract or law have been conveyed to and remain with the nonprofit organization regarding the above on. If the rights held by the nonprofit organization are not exclusive, each individual, concern, or organization having rights in the le separate statements as to their status as small entities. No rights to the invention are held by any person who would not qualify as 7 CFR 1.27(a)(1) or by any concern which would not qualify as a small business concern under 37 CFR 1.27(a)(2) or a nonprofit er 37 CFR 1.27(a)(3).
[] no	ional person, concern, or organization having any rights in the invention is listed below: o such person, concern, or organization exists.
[X] ea	ach such person, concern, or organization is listed below.
	rustees of Tufts College ledford, Massachusetts 02155
status prior to pa	dge the duty to file, in this application or patent, notification of any change in status resulting in loss of entitlement to small entity ying, or at the time of paying, the earliest of the issue fee or any maintenance fee due after the date on which status as a small entity opriate. (37 CFR 1.27(g)(2))
NAME OF PERS	SON SIGNING Louis J. Petrovic, Ph.D.
TITLE IN ORGA	NIZATION OF PERSON SIGNING Director of External Funding, Technology Transfer & Partnering
ADDRESS OF P	ERSON SIGNING University of Massachusetts Lowell, Research Foundation, 600 Suffolk St., 2nd Floor South, Lowell, MA 01854
SIGNATURE _	Jones Charles DATE 5/6/08
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